

AP20 Rec'd PCT/PTO 11 MAY 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Date: May 11, 2006
Jeong Rak Kim : Examiner: Unknown
U.S. Appln. No.: Unknown : Art Unit: Unknown
Int'l Appln. No.: PCT/KR2004/003077 : Docket No.: OT-5485
Int'l Filing Date: November 26, 2004 :
Title: DEVICE FOR RESTRAINING THE RISE OF A STEP ROLLER OF
AN ESCALATOR

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith a copy of (a) attached form PTO/SB/08A, which lists all patents, publications, applications, or other information submitted for consideration by the Office; and (b) a legible copy of each document required by 37 C.F.R. § 1.98(a)(2). Since this Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, no fee or certification under 37 C.F.R. § 1.97(e) is required.

Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted,

Date: May 11, 2006

By 
Lisa A. Bongiovi
Registration No. 48,933

Otis Elevator Company
Ten Farm Springs
Farmington, CT 06032
(860) 676-5760

1A/P20 Rec'd PCT/PTO 11 MAY 2006
PTO/SB/08A

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through 07/01/2008. GPO 2007-088
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	Unknown
Filing Date	
First Named Inventor	Jeong Rak Kim
Art Unit	Unknown
Examiner Name	Unknown

Attorney Docket Number OT-5485

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		GB 16,267	03-30-1911	Trevett		
		GB 203,033	08-29-1923	Graff-Baker		
		GB 491,301	08-30-1938	Waygood-Otis Ltd		
		GB 492,715	09-23-1938	J&E Hall Ltd		
		GB 549,616	11-30-1942	Westinghouse Electric		
		EP 1 338 548 A1	08-27-2003	Kabushiki Kaisha Toshiba		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **1**Applicant's unique citation designation number (optional). **2**See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. **3**Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **4**For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. **5**Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. **6**Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Number Unknown 101579003

Inventor Jeong Rak Kim
Unknown

ne	Unknown
cket Number	OT-5485

Digitized by srujanika@gmail.com

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

3

Application Number	Unknown
Filing Date	201579003
First Named Inventor	Jeong Rak Kim
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	OT-5485

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.